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THE UNITED STATES PATENT AND TRADEMARK OFFICE

#10/ Reg for

05-06-0

In re Application of:

John F.R. Robertson

Serial No. 09/857,739

Filed: December 10, 1999

For: Cancer Detection Methods and Reagents

Attn: Refund Section

## REQUEST FOR REFUND AND ASSERTION OF SMALL ENTITY STATUS

Assistant Commissioner for Patents Washington, DC 20231

Sir:

The undersigned attorney for Applicants respectfully requests a refund in the amount of \$765.00 in the above referenced application. All fees paid to date have been fees for a large entity. Applicants and the entity to which Applicants have assigned the invention were small entities at the time of filing and continue to be small entities under 37 U.S.C. §1.27.

On June 8, 2001, a transmittal letter to the United States designated/elected office concerning a filing under 35 U.S.C. § 371 was made along with a first preliminary amendment. The fee amounts submitted were fees for a large entity totaling \$1,530.00. These fees included:

- a) the basic filing fee of \$860.00;
- b) the fee for multiple dependant claims of \$270.00;
- c) the fee for 5 independent claims of \$400.00.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on March 22, 2002.

Janina A. Malone - Reg. No. 47,768

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These fees totaled \$1,530.00. With the small entity reduction, the fee should have been \$765.00. Applicants are therefore entitled to a refund of \$765.00.

Applicants submit that they and the University of Nottingham, to whom the invention has been assigned, qualify for small entity status under 32 U.S.C. §1.27. Accordingly, Applicants request a refund of \$765.00, which is the difference in the fees paid for a large entity and those owed for a small entity.

The Office is requested to credit that refund to Deposit Account No. 11-0855.

Respectfully submitted,

Jánina A. Malone Reg. No.47,768

KILPATRICK STOCKTON LLP Suite 2800 1100 Peachtree Street Atlanta, Georgia 30309-4530

Direct Phone: 404-745-2421 Direct Fax: 404-541-3290

Docket: 02332-0020 (49409-264826)